

Audit Finding Report

Client	Design Works Clothing Co PTY LTD	AI Service No	R-us10-17310789 R-us7-17444870
Supplier	Xiamen Gentle Ocean Co Ltd	Auditor	Snow Ma Snow Ma
Factory	Xiamen Gentle Ocean Co Ltd	Audit Date	13-Sep-2017 11-Dec-2017

OVERALL SCORE

8.8/10

COLOR INDICATOR

Green

Approved

No.	<u>Findings / Violations</u>	<u>Corrective action</u>	<u>Target completion date</u>
1	Based on tour visit, the finished products were placed lean to wall and on ground directly 成品直接置放于地面且靠墙堆放 1st follow-up audit on 11-Dec-2017:Open Based on tour visit, around 20% raw materials/accessories, semi-products and finished products were placed lean to wall	All raw materials, semi-products and finished products should be placed on pallet and at least 50 cm far from the wall 1st follow-up audit on 11-Dec-2017: All raw materials, semi-products and finished products should be placed on pallet and at least 50 cm far from the wall	12-Oct-2017 10-Jan-2018
2	Based on records review, the BOM was available in place, but didn't identify the suppliers and evidence of approval BOM 表没有标明供应商名称及确认 1st follow-up audit on 11-Dec-2017:Open Based on tour visit and records review, documented BOM was available, but without identification of supplier and evidence of approval	It is recommended that BOM should be include the information of identification of suppliers and evidence of approval 1st follow-up audit on 11-Dec-2017: It is recommended that BOM should be include the information of identification of suppliers and evidence of approval	12-Oct-2017 10-Jan-2018
3	Based on tour visit, there were no approval sample found in working area 车间没有确认样 1st follow-up audit on 11-Dec-2017: Based on tour visit, the approved reference sample was available in the production line	Approval sample should be available in working area	12-Oct-2017 Close
4	No documents of QC staffs have sufficient authority to stop production available in place	Documents of QC staffs have sufficient authority to stop production should be publicized for workers awareness	12-Oct-2017 Close



	<p>没有文件证明品管人员碰到质量问题时可以停止生产</p> <p>1st follow-up audit on 11-Dec-2017: Documents of QC staffs have sufficient authority to stop production was available.</p>		
5	<p>No evidence showed factory regularly conducted the in-line process inspection. 没有证据标明工厂进行了制程检验。</p> <p>1st follow-up audit on 11-Dec-2017: Solid evidence showed factory had regularly conducted the in-line inspection</p>	<p>Factory should regularly conduct the in-line process inspection and keep relevant records in place.</p>	<p>12-Oct-2017 Close</p>
6	<p>Based on tour visit, around 50% raw materials/accessories and semi-products didn't have clear identification for easy traceability 约50%的物料,半成品,成品没有标识</p> <p>1st follow-up audit on 11-Dec-2017: Based on tour visit, the raw materials/accessories, semi-products and finished products were clearly identified for easy traceability</p>	<p>All raw materials/accessories and semi-products should be clearly identified for easy traceability</p>	<p>12-Oct-2017 Close</p>
7	<p>Based on tour visit, the rejected materials/components, semi-products and finished products were not clearly identified 不合格物料, 半成品和成品没有标识。</p> <p>1st follow-up audit on 11-Dec-2017: Based on tour visit, the rejected materials/components, semi-products and finished products were clearly identified and separated</p>	<p>The rejected material/component should be clearly identified from good materials</p>	<p>12-Oct-2017 Close</p>
8	<p>Factory could not provide the raw materials/accessories lab testing report for review during audit 工厂无法提供辅料的检测报告供审核</p> <p>1st follow-up audit on 11-Dec-2017: Factory could provide the raw materials/accessories lab testing report for review during audit</p>	<p>Lab testing report of batch raw materials/accessories should be available</p>	<p>12-Oct-2017 Close</p>
9	<p>No evidence showed factory used relevant 4-point or 10 points system for fabric checking. 没有证据标明工厂利用 4 分制或 10 分制对布料进行检验</p> <p>1st follow-up audit on 11-Dec-2017: Solid evidence showed factory used relevant 4-point or 10 points system for</p>	<p>Factory should use relevant 4-point or 10 points system for fabric checking</p>	<p>12-Oct-2017 Close</p>



	fabric checking		
10	<p>No evidence showed the fabric was checked upon reception for GSM, length/weight control of rolls 没有证据证明工厂对布料进行了克重检验</p> <p>1st follow-up audit on 11-Dec-2017: Solid evidence showed the fabric was checked upon reception for GSM, length/weight control of rolls</p>	<p>It is recommended that the fabric should be checked upon reception for GSM, length/weight control of rolls.</p>	<p>12-Oct-2017 Close</p>
11	<p>No evidence showed factory defined and respected the fabric resting time. 没有证据表明工厂规定了松布时间并执行.</p> <p>1st follow-up audit on 11-Dec-2017: Solid evidence showed factory defined and respected the fabric resting time</p>	<p>Factory should define and respected the fabric resting time.</p>	<p>12-Oct-2017 Close</p>
12	<p>No evidence showed the metal detector were adequately calibrated and verified before each shift for working order 没有验针机的校准记录</p> <p>1st follow-up audit on 11-Dec-2017: Solid evidence showed the metal detector were adequately calibrated and verified before each shift for working order</p>	<p>Metal detector should be adequately calibrated and verified before each shift for working order</p>	<p>12-Oct-2017 Close</p>
13	<p>No evidence showed the needles were counted every working day. 没有每天的针存记录</p> <p>1st follow-up audit on 11-Dec-2017: Solid evidence showed the needles were counted every working day</p>	<p>Factory should have a written needle control policy in place and all the needles should be counted every working day</p>	<p>12-Oct-2017 Close</p>
14	<p>Some needles were not removed from the suspended sewing machine based on tour visit 部分停用针车的机针没有卸掉</p> <p>1st follow-up audit on 11-Dec-2017: Based on tour visit, the needles were removed from the suspended sewing machine</p>	<p>Needles should be removed from the suspended sewing machine</p>	<p>12-Oct-2017 Close</p>
15	<p>No evidence showed factory had taken any steps if all parts of broken needles could not be found 没有断针遗失处理记录</p> <p>1st follow-up audit on 11-Dec-2017: Solid procedure/evidence showed factory had taken any steps if all parts of broken</p>	<p>Factory should take any steps if all parts of broken needles could not be found</p>	<p>12-Oct-2017 Close</p>



	needles could not be found		
16	<p>Based on tour visit, the living part of the sewing machines didn't equipped with appropriate safe guard 针车活动部位没有安装挡板</p> <p>1st follow-up audit on 11-Dec-2017: Based on tour visit, the living part of the 20% sewing machines were not equipped with appropriate safe guard</p>	<p>The living part of the sewing machines should be equipped with appropriate safe guard.</p> <p>1st follow-up audit on 11-Dec-2017: The living part of the sewing machines should be equipped with appropriate safe guard</p>	<p>12-Oct-2017 10-Jan-2018</p>
17	<p>No cutting and sewing pieces inspection records in place 没有裁片及车缝的检验记录</p> <p>1st follow-up audit on 11-Dec-2017: Based on records review, 100% cutting and sewing pieces inspection records were in place</p>	<p>Cutting and sewing pieces inspection records should be in place</p>	<p>12-Oct-2017 Close</p>

Note: This is a draft finding report for reference, official report will be issued to client directly.

Factory Stamp & Site Representative Signature:

Auditor Signature: Snow Ma

Date:

Date: 11-Dec-2017

